



**FORM NO. MGT - 9**  
**EXTRACT OF ANNUAL RETURN**  
**as on the Financial Year ended on March 31, 2020**  
[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies  
(Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS**

CIN	L19201WB1931PLC007261
Registration Date	December 23, 1931
Name of the Company	Bata India Limited
Category / Sub-Category of the Company	Public Company - Limited by Shares
Address of the Registered Office and contact details	27B, Camac Street, 1 <sup>st</sup> Floor, Kolkata - 700016, West Bengal Telephone: (033) 2301 4400 Fax: (033) 2289 5748 E-mail: corporate.relations@bata.com
Whether Listed Company	Yes
Name, address and contact details of the Registrar and Transfer Agent	M/s. R & D Infotech Private Limited 7A, Beltala Road, 1 <sup>st</sup> Floor, Kolkata - 700026, West Bengal Telephone: (033) 2419 2641 / 2642 Fax: (033) 2419 2642 E-mail: bata@rdinfotech.net / info@rdinfotech.net

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

Sl. No.	Name and Description of main Products / Services	NIC Code of the Product / Service	% to total turnover of the Company
1.	Footwear & Accessories - Retail	47713	88.39
2.	Footwear - Non Retail	46413	11.61

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

Sl. No.	Name and address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of Shares held	Applicable Section
1.	Bata (BN) B.V. Europaplein 1, 5684 ZC Best, P.O. Box 990, 1000 AZ, Amsterdam, The Netherlands	Company Registration No. 33038028	Holding	52.96	2(46)
2.	Bata Properties Limited 6A, S. N. Banerjee Road, Kolkata - 700013, West Bengal	U70101WB1987PLC042839	Subsidiary	100	2(87)
3.	Coastal Commercial & Exim Limited 16A, Shakespeare Sarani Kolkata - 700071, West Bengal	U51311WB1991PLC053364	Wholly-owned Subsidiary of Bata Properties Limited	-	2(87)
4.	Way Finders Brands Limited 204, Rashbehari Avenue, Kolkata - 700029, West Bengal	U51909WB2014PLC204637	Subsidiary	100	2(87)

#### IV. SHAREHOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

##### (i) Category-wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter</b>									
<b>(1) Indian</b>									
a) Individual / HUF	0	0	0	0	0	0	0	0	0
b) Central Govt.	0	0	0	0	0	0	0	0	0
c) State Govt.(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corporate	0	0	0	0	0	0	0	0	0
e) Banks / FI	0	0	0	0	0	0	0	0	0
f) Any Other	0	0	0	0	0	0	0	0	0
<b>Sub-total (A)(1):</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(2) Foreign</b>									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	0
b) Other - Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	68065514	0	68065514	52.96	68065514	0	68065514	52.96	0.00
d) Banks / FI	0	0	0	0	0	0	0	0	0
e) Any Other	0	0	0	0	0	0	0	0	0
<b>Sub-total (A)(2):</b>	<b>68065514</b>	<b>0</b>	<b>68065514</b>	<b>52.96</b>	<b>68065514</b>	<b>0</b>	<b>68065514</b>	<b>52.96</b>	<b>0.00</b>
<b>Total Shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	<b>68065514</b>	<b>0</b>	<b>68065514</b>	<b>52.96</b>	<b>68065514</b>	<b>0</b>	<b>68065514</b>	<b>52.96</b>	<b>0.00</b>
<b>B. Public Shareholding</b>									
<b>(1) Institutions</b>									
a) Mutual Funds	21631176	300	21631476	16.83	19798410	0	19798410	15.40	-1.43
b) Banks / FI	315643	1680	317323	0.25	599448	1980	601428	0.47	0.22
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	5795951	300	5796251	4.51	8952539	300	8952839	6.96	2.45
g) FIs	14312818	0	14312818	11.13	11879373	0	11879373	9.24	-1.89
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others	0	0	0	0	0	0	0	0	0
<b>Sub-total (B)(1):</b>	<b>42055588</b>	<b>2280</b>	<b>42057868</b>	<b>32.72</b>	<b>41229770</b>	<b>2280</b>	<b>41232050</b>	<b>32.07</b>	<b>-0.65</b>
<b>(2) Non-Institutions</b>									
a) Bodies Corporate									
i) Indian	2337713	8400	2346113	1.82	2466861	8400	2475261	1.93	0.11
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	12981978	1197203	14179181	11.03	13900979	1042129	14943108	11.63	0.60
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	998699	0	998699	0.78	867537	0	867537	0.67	-0.11
c) Others									
i) Trusts	22142	0	22142	0.02	38506	0	38506	0.03	0.01
ii) Non Resident Individuals	592453	6486	598939	0.47	630871	5830	636701	0.50	0.03
iii) Director or Director's Relatives	10156	0	10156	0.01	656	0	656	0.00	-0.01
iv) IEPF Authority	248928	0	248928	0.19	268207	0	268207	0.21	0.02
<b>Sub-total (B)(2):</b>	<b>17192069</b>	<b>1212089</b>	<b>18404158</b>	<b>14.32</b>	<b>18173617</b>	<b>1056359</b>	<b>19229976</b>	<b>14.97</b>	<b>0.65</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>59247657</b>	<b>1214369</b>	<b>60462026</b>	<b>47.04</b>	<b>59403387</b>	<b>1058639</b>	<b>60462026</b>	<b>47.04</b>	<b>0.00</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Grand Total (A+B+C)</b>	<b>127313171</b>	<b>1214369</b>	<b>128527540</b>	<b>100.00</b>	<b>127468901</b>	<b>1058639</b>	<b>128527540</b>	<b>100.00</b>	<b>0.00</b>


**ii) Shareholding of Promoters**

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1.	BATA (BN) B.V.	68065514	52.96	0.00	68065514	52.96	0.00	0.00
	<b>Total</b>	<b>68065514</b>	<b>52.96</b>	<b>0.00</b>	<b>68065514</b>	<b>52.96</b>	<b>0.00</b>	<b>0.00</b>

**iii) Change in Promoters' Shareholding:**

There was no change in shareholding of Promoter during the financial year ended March 31, 2020.

**iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs)**

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	
<b>1.</b>	<b>KOTAK FUNDS - INDIA MIDCAP FUND</b>					
	At the beginning of the year	<b>2824295</b>	<b>2.20</b>			
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	05-Apr-19	Sell	(8649)	(0.01)	2815646	2.19
	12-Apr-19	Sell	(30000)	(0.02)	2785646	2.17
	26-Apr-19	Sell	(25000)	(0.02)	2760646	2.15
	09-Aug-19	Sell	(60000)	(0.05)	2700646	2.10
	30-Aug-19	Sell	(80000)	(0.06)	2620646	2.04
	06-Sep-19	Buy	80000	0.06	2700646	2.10
	27-Sep-19	Sell	(80000)	(0.06)	2620646	2.04
	13-Dec-19	Sell	(24957)	(0.02)	2595689	2.02
	20-Dec-19	Sell	(25356)	(0.02)	2570333	2.00
	27-Dec-19	Sell	(20000)	(0.02)	2550333	1.98
	03-Jan-20	Sell	(26051)	(0.02)	2524282	1.96
	10-Jan-20	Sell	(23757)	(0.02)	2500525	1.94
	07-Feb-20	Sell	(55000)	(0.04)	2445525	1.90
	14-Feb-20	Sell	(21618)	(0.02)	2423907	1.88
	06-Mar-20	Sell	(2611)	(0.00)	2421296	1.88
	27-Mar-20	Sell	(60000)	(0.05)	2361296	1.83
	31-Mar-20	Sell	(65939)	(0.05)	2295357	1.78
	At the end of the year				<b>2295357</b>	<b>1.78</b>
<b>2.</b>	<b>LIFE INSURANCE CORPORATION OF INDIA</b>					
	At the beginning of the year	<b>2383966</b>	<b>1.85</b>			
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	24-May-19	Buy	30800	0.03	2414766	1.88
	31-May-19	Buy	329746	0.26	2744512	2.14
	07-Jun-19	Buy	263196	0.20	3007708	2.34
	14-Jun-19	Buy	385560	0.30	3393268	2.64
	21-Jun-19	Buy	235832	0.18	3629100	2.82
	28-Jun-19	Buy	284038	0.22	3913138	3.04
	05-Jul-19	Buy	263216	0.21	4176354	3.25
	12-Jul-19	Buy	416914	0.32	4593268	3.57
	19-Jul-19	Buy	138277	0.11	4731545	3.68
	26-Jul-19	Buy	216898	0.17	4948443	3.85
	02-Aug-19	Buy	211646	0.16	5160089	4.01
	09-Aug-19	Buy	183179	0.14	5343268	4.15
	14-Feb-20	Sell	(11200)	(0.01)	5332068	4.14
	21-Feb-20	Sell	(204650)	(0.15)	5127418	3.99
	28-Feb-20	Sell	(42077)	(0.03)	5085341	3.96
	At the end of the year				<b>5085341</b>	<b>3.96</b>

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	
3.	<b>KOTAK STANDARD MULTICAP FUND</b>					
	At the beginning of the year		<b>1649850</b>	<b>1.28</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	05-Apr-19	Buy	110000	0.09	1759850	1.37
	12-Apr-19	Buy	40150	0.03	1800000	1.40
	11-Oct-19	Sell	(550)	(0.00)	1799450	1.40
	01-Nov-19	Sell	(66550)	(0.05)	1732900	1.35
	08-Nov-19	Buy	67100	0.05	1800000	1.40
	29-Nov-19	Buy	59709	0.04	1859709	1.44
	06-Dec-19	Buy	8257	0.01	1867966	1.45
	20-Mar-20	Sell	(78100)	(0.06)	1789866	1.39
	27-Mar-20	Buy	78100	0.06	1867966	1.45
	At the end of the year				<b>1867966</b>	<b>1.45</b>
4.	<b>FRANKLIN TEMPLETON MUTUAL FUND A/C FRANKLIN INDIA EQUITY FUND</b>					
	At the beginning of the year		<b>1400000</b>	<b>1.09</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	03-May-19	Sell	(100000)	(0.08)	1300000	1.01
	10-May-19	Sell	(100000)	(0.08)	1200000	0.93
	24-May-19	Sell	(100000)	(0.07)	1100000	0.86
	31-May-19	Sell	(150000)	(0.12)	950000	0.74
	07-Jun-19	Sell	(150000)	(0.12)	800000	0.62
	14-Jun-19	Sell	(50000)	(0.04)	750000	0.58
	28-Jun-19	Sell	(50000)	(0.04)	700000	0.54
	05-Jul-19	Sell	(50000)	(0.04)	650000	0.50
	23-Aug-19	Sell	(90300)	(0.07)	559700	0.43
	30-Aug-19	Sell	(559700)	(0.43)	0	0.00
	06-Sep-19	Buy	500000	0.39	500000	0.39
	13-Sep-19	Sell	(50000)	(0.04)	450000	0.35
	20-Sep-19	Sell	(150000)	(0.12)	300000	0.23
	27-Sep-19	Sell	(300000)	(0.23)	0	0.00
	Ceased to be part of top ten shareholders of the Company w.e.f. 27.09.2019.				<b>0</b>	<b>0.00</b>
	At the end of the year				<b>N.A.</b>	<b>N.A.</b>



Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	
5.	<b>IDFC MULTI CAP FUND</b>					
	At the beginning of the year		<b>1332499</b>	<b>1.04</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	26-Apr-19	Sell	(12499)	(0.01)	1320000	1.03
	24-May-19	Sell	(25000)	(0.02)	1295000	1.01
	14-Jun-19	Sell	(20000)	(0.02)	1275000	0.99
	21-Jun-19	Sell	(10000)	(0.01)	1265000	0.98
	26-Jul-19	Buy	20000	0.02	1285000	1.00
	09-Aug-19	Sell	(14607)	(0.01)	1270393	0.99
	30-Aug-19	Sell	(195000)	(0.15)	1075393	0.84
	06-Sep-19	Buy	185000	0.14	1260393	0.98
	13-Sep-19	Sell	(10000)	(0.01)	1250393	0.97
	20-Sep-19	Sell	(20000)	(0.01)	1230393	0.96
	27-Sep-19	Sell	(155000)	(0.12)	1075393	0.84
	11-Oct-19	Sell	(40000)	(0.03)	1035393	0.81
	07-Feb-20	Sell	(5393)	(0.01)	1030000	0.80
20-Mar-20	Sell	(33968)	(0.03)	996032	0.77	
Ceased to be part of top ten shareholders of the Company w.e.f. 20.03.2020.				<b>996032</b>	<b>0.77</b>	
At the end of the year				<b>N.A.</b>	<b>N.A.</b>	
6.	<b>AMERICAN CENTURY WORLD MUTUAL FUNDS INC - EMERGING MARKETS FUND</b>					
	At the beginning of the year		<b>1323869</b>	<b>1.03</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	19-Jul-19	Sell	(277736)	(0.22)	1046133	0.81
	26-Jul-19	Sell	(402660)	(0.31)	643473	0.50
	Ceased to be part of top ten shareholders of the Company w.e.f. 26.07.2019.				<b>643473</b>	<b>0.50</b>
	At the end of the year				<b>N.A.</b>	<b>N.A.</b>
	7.	<b>ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE EQUITY HYBRID '95 FUND</b>				
		At the beginning of the year		<b>1202500</b>	<b>0.94</b>	
Date wise increase(+)/decrease(-) with reasons, during the year :						
<b>Date</b>		<b>Reason</b>				
12-Apr-19		Sell	(200000)	(0.16)	1002500	0.78
17-May-19		Sell	(100000)	(0.08)	902500	0.70
12-Jul-19		Sell	(215000)	(0.17)	687500	0.53
11-Oct-19		Sell	(8000)	(0.00)	679500	0.53
15-Nov-19		Sell	(25783)	(0.02)	653717	0.51
10-Jan-20		Sell	(20600)	(0.02)	633117	0.49
06-Mar-20		Sell	(50000)	(0.04)	583117	0.45
13-Mar-20		Sell	(60000)	(0.04)	523117	0.41
20-Mar-20		Buy	18418	0.01	541535	0.42
27-Mar-20		Buy	150000	0.12	691535	0.54
Ceased to be part of top ten shareholders of the Company w.e.f. 27.03.2020.				<b>691535</b>	<b>0.54</b>	
At the end of the year				<b>N.A.</b>	<b>N.A.</b>	

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	
8.	<b>BAJAJ HOLDINGS AND INVESTMENT LTD.</b>					
	At the beginning of the year		<b>1067022</b>	<b>0.83</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	26-Jul-19	Buy	20000	0.02	1087022	0.85
	31-Jan-20	Sell	(20000)	(0.02)	1067022	0.83
	07-Feb-20	Sell	(15402)	(0.01)	1051620	0.82
At the end of the year				<b>1051620</b>	<b>0.82</b>	
9.	<b>KOTAK MAHINDRA LIFE INSURANCE COMPANY LTD.</b>					
	At the beginning of the year		<b>1016386</b>	<b>0.79</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	05-Apr-19	Sell	(44917)	(0.03)	971469	0.76
	12-Apr-19	Sell	(23871)	(0.02)	947598	0.74
	19-Apr-19	Sell	(9034)	(0.01)	938564	0.73
	26-Apr-19	Sell	(23156)	(0.02)	915408	0.71
	03-May-19	Sell	(14856)	(0.01)	900552	0.70
	10-May-19	Sell	(228528)	(0.18)	672024	0.52
	17-May-19	Sell	(76806)	(0.06)	595218	0.46
	07-Jun-19	Sell	(76974)	(0.06)	518244	0.40
	14-Jun-19	Sell	(17633)	(0.01)	500611	0.39
	21-Jun-19	Sell	(74)	(0.00)	500537	0.39
	05-Jul-19	Sell	(51057)	(0.04)	449480	0.35
	16-Aug-19	Sell	(142)	(0.00)	449338	0.35
	30-Aug-19	Sell	(22017)	(0.02)	427321	0.33
	06-Sep-19	Buy	5135	0.00	432456	0.33
	20-Sep-19	Sell	(176)	(0.00)	432280	0.33
	27-Sep-19	Sell	(4959)	(0.00)	427321	0.33
	04-Oct-19	Sell	(4154)	(0.00)	423167	0.33
	11-Oct-19	Sell	(14363)	(0.01)	408804	0.32
	18-Oct-19	Sell	(1887)	(0.00)	406917	0.32
	25-Oct-19	Sell	(2395)	(0.00)	404522	0.32
	01-Nov-19	Sell	(44076)	(0.04)	360446	0.28
	08-Nov-19	Sell	(3182)	(0.00)	357264	0.28
	15-Nov-19	Sell	(41)	(0.00)	357223	0.28
	29-Nov-19	Buy	63451	0.05	420674	0.33
	06-Dec-19	Buy	26930	0.02	447604	0.35
	13-Dec-19	Sell	(11)	(0.00)	447593	0.35
	27-Dec-19	Sell	(35)	(0.00)	447558	0.35
	03-Jan-20	Sell	(162)	(0.00)	447396	0.35
	17-Jan-20	Sell	(1597)	(0.00)	445799	0.35
	24-Jan-20	Sell	(66925)	(0.05)	378874	0.30
	31-Jan-20	Sell	(9151)	(0.01)	369723	0.29
	06-Mar-20	Buy	24500	0.02	394223	0.31
	20-Mar-20	Sell	(72358)	(0.06)	321865	0.25
	27-Mar-20	Sell	(31793)	(0.02)	290072	0.23
	31-Mar-20	Sell	(11144)	(0.01)	278928	0.22
	Ceased to be part of top ten shareholders of the Company w.e.f. 31.03.2020.				<b>278928</b>	<b>0.22</b>
	At the end of the year				<b>N.A.</b>	<b>N.A.</b>



Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	
10.	<b>ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C. ADITYA BIRLA SUN LIFE MNC FUND</b>					
	At the beginning of the year	<b>1011489</b>	<b>0.79</b>			
	Date wise increase(+)/decrease (-) with reasons, during the year :	0	0.00	0	0.00	
	At the end of the year			<b>1011489</b>	<b>0.79</b>	
11.	<b>HDFC LIFE INSURANCE COMPANY LIMITED#</b>					
	At the beginning of the year	<b>779247</b>	<b>0.61</b>			
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	05-Apr-19	Buy	15883	0.01	795130	0.62
	19-Apr-19	Sell	(107)	(0.00)	795023	0.62
	26-Apr-19	Sell	(3949)	(0.00)	791074	0.62
	03-May-19	Buy	23	0.00	791097	0.62
	10-May-19	Buy	36304	0.02	827401	0.64
	17-May-19	Buy	13738	0.01	841139	0.65
	24-May-19	Buy	4119	0.00	845258	0.65
	31-May-19	Buy	9669	0.01	854927	0.66
	07-Jun-19	Buy	1681	0.00	856608	0.66
	14-Jun-19	Sell	(17845)	(0.01)	838763	0.65
	21-Jun-19	Sell	(9046)	(0.01)	829717	0.64
	28-Jun-19	Sell	(193)	(0.00)	829524	0.64
	05-Jul-19	Sell	(14395)	(0.01)	815129	0.63
	12-Jul-19	Buy	1808	0.00	816937	0.63
	19-Jul-19	Buy	21495	0.02	838432	0.65
	26-Jul-19	Buy	62922	0.05	901354	0.70
	02-Aug-19	Buy	1596	0.00	902950	0.70
	09-Aug-19	Sell	(55867)	(0.04)	847083	0.66
	16-Aug-19	Buy	18	0.00	847101	0.66
	23-Aug-19	Buy	35	0.00	847136	0.66
	30-Aug-19	Sell	(51692)	(0.04)	795444	0.62
	06-Sep-19	Buy	23237	0.02	818681	0.64
	13-Sep-19	Sell	(17800)	(0.01)	800881	0.62
	20-Sep-19	Buy	1814	0.00	802695	0.62
	27-Sep-19	Sell	(7251)	(0.00)	795444	0.62
	11-Oct-19	Buy	609	0.00	796053	0.62
	18-Oct-19	Sell	(44960)	(0.03)	751093	0.59
	25-Oct-19	Sell	(1445)	(0.00)	749648	0.59
	01-Nov-19	Sell	(124)	(0.00)	749524	0.59
	08-Nov-19	Buy	200224	0.15	949748	0.74
	15-Nov-19	Sell	(171561)	(0.13)	778187	0.61
	22-Nov-19	Buy	50	0.00	778237	0.61
	29-Nov-19	Buy	53858	0.04	832095	0.65
	06-Dec-19	Sell	(135)	(0.00)	831960	0.65
	13-Dec-19	Buy	25	0.00	831985	0.65
	20-Dec-19	Buy	25	0.00	832010	0.65
	27-Dec-19	Buy	25	0.00	832035	0.65
	03-Jan-20	Buy	25	0.00	832060	0.65
	10-Jan-20	Buy	75	0.00	832135	0.65
	17-Jan-20	Buy	69512	0.05	901647	0.70
	24-Jan-20	Buy	50	0.00	901697	0.70
	31-Jan-20	Buy	50	0.00	901747	0.70
	07-Feb-20	Buy	1730	0.00	903477	0.70
	14-Feb-20	Buy	50	0.00	903527	0.70
	21-Feb-20	Buy	50	0.00	903577	0.70
	28-Feb-20	Buy	97431	0.08	1001008	0.78
	06-Mar-20	Buy	39721	0.03	1040729	0.81
	13-Mar-20	Buy	243423	0.19	1284152	1.00
	20-Mar-20	Buy	376248	0.29	1660400	1.29
	27-Mar-20	Buy	79082	0.06	1739482	1.35
	31-Mar-20	Buy	100	0.00	1739582	1.35
	At the end of the year				<b>1739582</b>	<b>1.35</b>



Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	
12.	<b>DSP MIDCAP FUND#</b>					
	At the beginning of the year		<b>457531</b>	<b>0.36</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	24-May-19	Buy	8364	0.00	465895	0.36
	31-May-19	Buy	423410	0.33	889305	0.69
	07-Jun-19	Buy	122675	0.10	1011980	0.79
	14-Jun-19	Buy	110109	0.08	1122089	0.87
	13-Mar-20	Buy	12633	0.01	1134722	0.88
	20-Mar-20	Buy	346608	0.27	1481330	1.15
27-Mar-20	Buy	107955	0.09	1589285	1.24	
At the end of the year				<b>1589285</b>	<b>1.24</b>	
13.	<b>AXIS MUTUAL FUND TRUSTEE LIMITED A/C. AXIS MUTUAL FUND A/C. AXIS MIDCAP FUND#</b>					
	At the beginning of the year		<b>460000</b>	<b>0.36</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	05-Apr-19	Buy	10000	0.00	470000	0.36
	03-May-19	Buy	20000	0.02	490000	0.38
	10-May-19	Sell	(30000)	(0.02)	460000	0.36
	17-May-19	Buy	82000	0.06	542000	0.42
	24-May-19	Buy	82600	0.06	624600	0.48
	31-May-19	Sell	(74600)	(0.05)	550000	0.43
	07-Jun-19	Buy	37136	0.03	587136	0.46
	21-Jun-19	Buy	36864	0.03	624000	0.49
	05-Jul-19	Buy	20000	0.01	644000	0.50
	26-Jul-19	Buy	66000	0.05	710000	0.55
	02-Aug-19	Buy	40000	0.03	750000	0.58
	09-Aug-19	Buy	30000	0.02	780000	0.60
	30-Aug-19	Buy	100000	0.08	880000	0.68
	06-Sep-19	Sell	(100000)	(0.08)	780000	0.60
	20-Sep-19	Buy	20000	0.02	800000	0.62
	27-Sep-19	Buy	80000	0.06	880000	0.68
	01-Nov-19	Buy	40000	0.03	920000	0.71
	08-Nov-19	Sell	(90000)	(0.07)	830000	0.64
	15-Nov-19	Sell	(22643)	(0.02)	807357	0.62
	06-Dec-19	Buy	11643	0.01	819000	0.63
	13-Dec-19	Buy	24000	0.02	843000	0.65
	20-Dec-19	Buy	37000	0.03	880000	0.68
	03-Jan-20	Buy	30000	0.02	910000	0.70
	10-Jan-20	Buy	66906	0.06	976906	0.76
	17-Jan-20	Buy	50000	0.04	1026906	0.80
	24-Jan-20	Buy	112360	0.09	1139266	0.89
	31-Jan-20	Buy	40734	0.03	1180000	0.92
	14-Feb-20	Buy	30000	0.02	1210000	0.94
	20-Mar-20	Buy	105000	0.08	1315000	1.02
	At the end of the year				<b>1315000</b>	<b>1.02</b>





Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	
14.	<b>ROBECO CAPITAL GROWTH FUNDS - ROBECO QI EMERGING CONSERVATIVE EQUITIES<sup>#</sup></b>					
	At the beginning of the year		<b>479688</b>	<b>0.37</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :					
	<b>Date</b>	<b>Reason</b>				
	05-Apr-19	Buy	30335	0.03	510023	0.40
	12-Apr-19	Buy	68143	0.05	578166	0.45
	03-May-19	Buy	78395	0.06	656561	0.51
	10-May-19	Buy	319136	0.25	975697	0.76
	24-May-19	Buy	121966	0.09	1097663	0.85
	05-Jul-19	Sell	(28837)	(0.02)	1068826	0.83
12-Jul-19	Sell	(36271)	(0.03)	1032555	0.80	
At the end of the year				<b>1032555</b>	<b>0.80</b>	
15.	<b>HDFC TRUSTEE COMPANY LTD - A/C. HDFC MID – CAP OPPORTUNITIES FUND<sup>#</sup></b>					
	At the beginning of the year		<b>1000000</b>	<b>0.78</b>		
	Date wise increase(+)/decrease(-) with reasons, during the year :		0	0.00	<b>0</b>	<b>0.00</b>
	At the end of the year				<b>1000000</b>	<b>0.78</b>

<sup>#</sup>Not in the list of Top 10 shareholders as on April 1, 2019 but the same is shown above since the shareholder was one of the Top 10 Shareholders as on March 31, 2020.

v) **Shareholding of Directors and Key Managerial Personnel:**

Sl. No.	For Each of the Directors and Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year		
		No. of Shares	% of total Shares of the Company	No. of Shares	% of total Shares of the Company	
1.	<b>Mr. Sandeep Kataria, Whole-time Director and Chief Executive Officer</b>					
	At the beginning of the year		<b>100</b>	<b>0.00</b>		
	Date wise increase (+) / decrease (-) with reasons, during the year		0	0.00	0	0.00
	At the end of the year				<b>100</b>	<b>0.00</b>
2.	<b>Mr. Ram Kumar Gupta, Director Finance and Chief Financial Officer</b>					
	At the beginning of the year		<b>56</b>	<b>0.00</b>		
	Date wise increase (+) / decrease (-) with reasons, during the year		0	0.00	0	0.00
	At the end of the year				<b>56</b>	<b>0.00</b>

- Number of Shares held by Mr. Uday Khanna who ceased to be the Chairman & Independent Director of the Company w.e.f. August 4, 2019: **10,000 (0.01%) shares [Previous year: 10,000 (0.01%) shares]**
- Number of Shares held by a relative of Mr. Ram Kumar Gupta as on March 31, 2020: **500 (0.00%) shares [Previous year: Nil]**
- Other than the above, no other Director and Key Managerial Personnel held any share in the Company either at the beginning of the financial year, during the financial year or as at the financial year ended March 31, 2020.

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding / accrued but not due for payment relating to Secured Loans, Unsecured Loans and / or Deposits: **NIL**

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-time Director(s) and / or Manager: (Rs. in Million)

Sl. No.	Particulars of Remuneration	Name of the Managing Director and Whole-time Directors			Total Amount
		Mr. Rajeev Gopalakrishnan Managing Director	Mr. Sandeep Kataria Whole-time Director and Chief Executive Officer	Mr. Ram Kumar Gupta Director Finance and Chief Financial Officer	
1.	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income-Tax Act, 1961.	52.29	42.36	28.69	123.34
	(b) Value of perquisites under Section 17(2) of the Income-Tax Act, 1961.	0.52	1.05	0.04	1.61
	(c) Profits in lieu of salary under Section 17(3) of the Income-Tax Act, 1961.	-	-	-	-
2.	Stock Option	-	-	-	-
3.	Sweat Equity	-	-	-	-
4.	Commission				
	– as % of profit	-	-	-	-
	– Others, specify	-	-	-	-
5.	Others, please specify	-	-	-	-
<b>Total (A)</b>		<b>52.81</b>	<b>43.41</b>	<b>28.73</b>	<b>124.95</b>
Ceiling as per the Act		(10% of Net Profits of the Company calculated under Section 198 of the Companies Act, 2013)			<b>498.07</b>

### B. Remuneration to other Directors: (Rs. in Million)

Independent Directors								
Sl. No.	Particulars of Remuneration	Name of Directors						Total Amount
		Mr. Ashwani Windlass <sup>#</sup>	Mr. Akshay Chudasama	Ms. Anjali Bansal	Mr. Ravindra Dhariwal	Mr. Ashok Kumar Barat	Mr. Uday Khanna <sup>§</sup>	
1.	Fee for attending Board/ Committee Meetings	0.21	1.13	0.95	1.37	0.71	0.53	4.90
2.	Commission	-	1.32	1.32	1.32	0.38	2.65	6.99
3.	Others, please specify	-	-	-	-	-	-	-
<b>Total (B)</b>		<b>0.21</b>	<b>2.45</b>	<b>2.27</b>	<b>2.69</b>	<b>1.09</b>	<b>3.18</b>	<b>11.89</b>
Ceiling as per the Act		(1% of Net Profits of the Company calculated under Section 198 of the Companies Act, 2013)						<b>49.81</b>
<b>Total Managerial Remuneration [Total (A) + Total (B)]</b>								<b>136.84</b>
Overall ceiling as per the Act		(11% of Net Profits of the Company calculated under Section 198 of the Companies Act, 2013)						<b>547.88</b>

Commission relates to financial year ended March 31, 2019 and paid during the financial year ended March 31, 2020. In case of Mr. Ashok Kumar Barat, the commission was paid proportionately from the date of his appointment.

<sup>#</sup> Appointed as the Chairman & Independent Director with effect from November 13, 2019.

<sup>§</sup> Ceased to be the Chairman & Independent Director of the Company w.e.f. August 4, 2019.

**Note:** Non-Executive Non-Independent Director(s) of the Company do not accept sitting fees and / or Commission on the Net Profits of the Company. Mr. Shaibal Sinha, Non-Executive Director of the Company resigned w.e.f. August 3, 2019.


**C. Remuneration to Key Managerial Personnel other than MD / Manager / WTD:**

(Rs. in Million)

Sl. No.	Particulars of Remuneration	Mr. Arunito Ganguly, Assistant Vice President, Company Secretary & Compliance Officer*
1.	Gross Salary	
	(a) Salary as per provisions contained in Section 17(1) of the Income-Tax Act, 1961.	2.83
	(b) Value of perquisites under Section 17(2) of the Income-Tax Act, 1961.	-
	(c) Profits in lieu of salary under Section 17(3) of the Income-Tax Act, 1961.	-
2.	Stock Option	-
3.	Sweat Equity	-
4.	Commission	
	– as % of profit	-
	– Others, specify	-
5.	Others, please specify	-
	<b>Total</b>	<b>2.83</b>

\*Ceased to be the Company Secretary & Compliance Officer w.e.f. close of business hours on March 31, 2020.

**VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:**

There were no penalties / punishments / compounding of offences for breach of any section of the Companies Act, 2013 against the Company, its Directors or other officers in default, during the financial year ended March 31, 2020.

For and on behalf of the Board of Directors

Place : Gurugram  
Date : May 25, 2020

**Rajeev Gopalakrishnan**  
**Managing Director**  
DIN: 03438046

**Sandeep Kataria**  
**Whole-time Director and CEO**  
DIN: 05183714